IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Young, R.W., et al.

Serial No.: 10/826,710

Examiner:

Filing Date: April 16, 2004

Attorney Docket No.: P-1089A

For: A SPLICED CONTINUOUS STRIP

OF PACKETS

MAIL STOP IDS

Hon. Commissioner of Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR \$1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR \$1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR \$1.56(a) exists. This information is submitted in compliance with 37 CFR \$1.98.

References:

US 2,182,169

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US 2,702,070

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US 3,254,828

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US
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US
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US
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US
     6,342,118
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JΡ
     9,099,974
                      (Abstract)
EP
     0829754
EΡ
     0490398
WO
     99/59907
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Respectfully submitted,

Scott R. Cox

Reg. No. 31,945

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Louisville, Kentucky 40202

CERTIFICATE OF SERVICE

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Date: 6/9/04

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PATENT

Patent application	
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	Inventor(s)
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In re application of:	
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Commissioner for Patents	
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WITHIN THREE BEFORE MAILING OF FIRST CERTIFICATION UND	MATION DISCLOSURE STATEMENT MONTHS OF FILING OR FOFFICE ACTION (37 C.F.R. § 1.97(b)) FER 37 C.F.R. §§ 1.8(a) and 1.10* Express Mail label number is mandatory;
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^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.136 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a property executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [6-3]—page 2 of 3)

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NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

SIGNATURE OF PRACTITIONER

Scott R. Cox

(type or print name of practitioner)

400 W. Market St., Suite 2200

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Louisville, KY 40202

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 3 of 3)

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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CARLAND Form 1449/PTO

Application Number 10/826,710

Filling Date April 16, 2004

First Named Inventor Young, R.W.

Art Unit Examiner Name

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number P-1089A

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (7 known)}	10.07.1000		rigules Appeal
	ļ	^{US-} 2,182,169	12-05-1939	Bierer, J.M.	
	1	^{US-} 2,500,273	03-14-1950	Breth, W.J.	
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		^{US-} 5,253,819	10-19-1993	Butler, R.A., Jr.	
		^{US-} 5,284,197	02-08-1994	Cederholm, R., et al	
		^{US-} 5,360,502	11-01-1994	Andersson, K.G.B.	

Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T
	Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY	·	Or Relevant Figures Appear	T'
	JP 9099974 abstract	04-15-1997	Hiroshi, K.		
	EP 0829754	03-18-1998	Fukumoto, S.		
	EP 0490398	06-17-1992	Yoshimatsu, E.		Г
	WO 99/59907	11-25-1999	O'Connor, L.		
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	No.¹	Country Code ³ Number ⁴ Kind Code ⁵ (# known) JP 9099974 abstract EP 0829754 EP 0490398	Country Code ³ Number ⁴ Kind Code ⁵ (# known) JP 9099974 abstract EP 0829754 D3-18-1998 EP 0490398 06-17-1992	MM-DD-YYYY MM-DD-YYYY JP 9099974 abstract 04-15-1997 Hiroshi, K.	Date MM-DD-YYYY

Examiner	Date	
Signature	Considered	1

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Translation is attached.

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	Substitute for form 1449/PTO		To respond to a collection of information unless it contains a valid OMB control number Complete if Known		
		Application Number	10/826,710		
INIECDM	ATION DISCLOSURE	Filing Date	April 16, 2004		
	· · · · · · · · · · · · · · · · · · ·	First Named Inventor	Young, R.W.		
STATEMENT BY APPLICANT		Art Unit			
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Shoot 2	of 2	Attorney Docket Number	P-1089A		

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	-	Number-Kind Code ^{2 (f Irrown)}	11.01.100	 	Figures Appear
		^{US-} 5,468,321	11-21-1995	vanLiempt, M.J.C.	
		^{US-} 5,887,722	03-30-1999	Albrecht, J.A.,et al	
	1	^{US-} 6,076,671	06-20-2000	Malecki, R.J., et al	
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		^{US-} 4,467,589	08-28-1984	vanMaanen, J.D.	
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		^{US-} 4,743,333	05-10-1988	Forthmann, F.	
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		^{US-} 6,342,118	01-29-2002	Ward, J.K.	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear			
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